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Attorney Docket No.: 021044-005510US

Client Reference No.: P.0020.01.US.UT.NPC.RR

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

TOWNSEND and TOWNSEND and CREW LLI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Luo et al.

Application No.: 09/762,491

Filed: May 9, 2001

For: NOVEL APOPTOSIS PROTEINS

Examiner: Misook YU

Art Unit: 1642

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are not enclosed as they were submitted to the U.S. PTO on August 1, 2003, and have been placed in the application file as advised by the Examiner. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Also in the application file is a copy of the Search/Examination report corresponding to the PCT application.

Luo et al.

Application No.: 09/762,491

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As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the

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information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses

all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the

undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit

any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Beth L. Kelly

Reg. No. 51,868

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| wro | | - 10 | | Application Number | 09/762,491 | |
| | | | CLOSURE | Filing Date | May 9, 2001 | |
| STATEMENT BY APPLICANT | | | | First Named Inventor | Luo, Ying | |
| | | | | Art Unit | 1642 | |
| | (use as many shee | ets as | necessary) | Examiner Name | Misook YU | |
| Page | 1 | of | 3 | Attorney Docket Number | 021044-005510US | |

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| Examiner | Cite No.1 | Foreign Patent Document | | | | Name of Patentee or | Pages, Columns, Lines, Where Relevant | |
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| Examiner Initials * | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² | | | | |
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Substitute for form 1449/PTO Complete if Known **Application Number** 09/762,491 INFORMATION DISCLOSURE May 9, 2001 **Filing Date** STATEMENT BY APPLICANT First Named Inventor Luo, Ying 1642 Art Unit (use as many sheets as necessary) **Examiner Name** Misook YU 021044-005510US Page 2 of 3 Attorney Docket Number

| | | NON PATENT LITERATURE DOCUMENTS | |
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| Examiner Initials * | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T 2 |
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| Signature | Considered | |

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| | BF | Accession No. Al394293, submitted February 4, 1999 | | | | | |

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